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USPTO Form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Date Submitted: December 28, 2009

(use as many sheets as necessary)

## **Complete if Known**

Application Number	10/579,037
Filing Date	11/10/2004
First Named Inventor	Daniel HENDRIX
Art Unit	3744
Examiner Name	Teresa J. Walberg
Attorney Docket Number	016906-0510

Sheet 1 of 2

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	4,230,179	10-28-1980	UEHARA et al.	
	A2	5,174,370	12-29-1992	HALLGREN	
	A3	5,230,966	07-27-1993	VOSS et al.	
	A4	6,293,337 B1	09-25-2001	STRAHLE et al.	
	A5	6,681,846 B2	01-27-2007	ANGERMANN et al.	
	A6	2003/0098146 A1	05-29-2003	ANGERMANN et al.	
	A7	2007/0084592 A1	04-19-2007	HENDRIX et al.	

## **UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Serial Number-Kind Code <sup>2</sup> (if known)			

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	A8	JP 56-000993 A	01-08-1981	HISAKA WORKS LTD.		Abst.
	A9	JP 64-090971 A	04-10-1989	MATSUSHITA REFRIG CO., LTD.		Abst.
	A10	JP 2001-133172 A	05-18-2001	HITACHI, LTD.		Abst.
	A11	JP 01-307595 A	12-12-1989	MATSUSHITA REFRIGERATION		Abst.
	A12	EP 1 308 685 A2	05-07-2003	BEHR GMBH & CO.		Abst.
	A13	WO 97/23759 A1	07-03-1997	ALFA LAVAL AB		
	A14	WO 01/67021 A1	09-13-2001	ALFA LAVAL AB		
	A15	WO 03/010482 A1	02-06-2003	ALFA LAVAL CORPORATE AB		
	A16	WO 2005/012819 A1	02-10-2005	BEHR GMBH & CO. KG		
	A17	DE 198 33 338 A1	01-27-2000	MODINE MFG CO.		Abst.
	A18	EP 1 281 921 A2	02-05-2003	INGERSOLL-RAND ENERGY SYSTEMS CORP.		

Examiner Signature

Date Considered

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
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				Art Unit	3744
				Examiner Name	Teresa J. Walberg
Sheet	2	of	2	Attorney Docket Number	016906-0510

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A19	D. HENDRIX, U.S. PTO Office Action, Serial No. 10/579,039. dated 07-23-2008, 8 pages.	
	A20	D. HENDRIX, U.S. PTO Office Action, Serial No. 10/579,039, dated 01-22-2009, 8 pages.	
	A21	D. HENDRIX, U.S. PTO Office Action, Serial No. 10/579,039, dated 07-06-2009, 8 pages.	

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